Review Article

3 Adaptive Mechanisms of *Listeria monocytogenes* to Stressors: An overview

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6 Abstract

7 *Listeria monocytogenes* is a food borne pathogen which usually infects individuals with impaired cellular immunity and the healthy. Gastrointestinal tract (GIT) of the humans has lots of 8 9 defensive mechanisms placed to prevent pathogens from establishing themselves and cause infectious diseases. Survival defends on the pathogen's ability to overcome such preventive 10 mechanism of the host. Listeria monocytogenes exhibits array of mechanisms that ensure its 11 survival against these stressor. These stressor include gastric acid, bile salt, low oxygen tension, 12 antimicrobial peptides e.t.c. Acid tolerance system (ATR), glutamate decarboxylase system 13 (GAD), BilE system, oxygen sensors are used by Listeria monocytogenes to enhance its chances 14 of survival within host. Our interest here, is to look at such adaptive mesures with respect to the 15 stressors encountered. 16

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18 Introduction

Listeria monocytogenes is a species of pathogenic bacteria implicated in a disease condition listeriosis. It survives in the presence or absence of oxygen hence facultative in nature. It is one of the most virulent food borne pathogens and has high mortality rate especially among the immunocompromised and in those with impaired cell-mediated immunity (neonates, pregnant woman, elderly persons) causing septicemia, meningoencephalitis, still brith and gastroenteritis in healthy individual.

Listeriosis is relatively rare and annual incidence is decreasing; in the United States from 7.7 cases per million population in 1990 to 3.1 cases per million population in 2003. In France, the incidence of listeriosis declined from 4.5 cases per million population in 1999–2000 to approximately 3.4 in 2002–2003 (Liu, 2008). Although the incidence is low, the high mortality rates (about 30%) associated with listeriosis make *L. monocytogenes* one of the most deadly human food borne pathogens. In Nigeria, few studies done regarding this pathogen especially in humans, there is inadequacy of data regarding listeriosis.

- 32 It has developed many mechanisms that enable it to thrive and survive within GIT, multiplying
- and getting access to the systems especially in those with impaired cell-mediated immunity.
 Adaptation to the GIT conditions such as acidity, osmolarity, oxygen tension, or the challenging
- effects of antimicrobial peptides and bile is critical in order to survive. Interestingly, the more it is exposed to those challenges, the more it adapts to the environment which is achieved by
- expression of certain genes. The finding that the bacteria are able to colonize and persist in the
- 38 gallbladder (Begley *et al.*, 2009) suggests the occurrence of long-term and chronic infections and
- demonstrates the ability of pathogenic *Listeria* to survive within the various microenvironmentsof the gastrointestinal tract.
- This review focuses on the mechanisms employed by *L. monocytogenes* to cope with the harsh environment of the gastrointestinal tract.
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44 **Response to Acidic environment**

The main constituent of gastric acid is hydrochloric acid which is produced by parietal cells (also called oxyntic cells) in the gastric glandsin the stomach. Its secretion is a complex and relatively 47 energetically expensive process. Parietal cells contain an extensive secretory network48 (called canaliculi) from which the hydrochloric acid is secreted into the lumen of the stomach.

The pH of gastric acid is 1.5 to 3.5 (Marieb and Hoeh 2010) in the human stomach lumen, the acidity being maintained by the proton pump H^+/K^+ ATPase.

The first stressor encountered by *L. monocytogenes* after ingestion is the acidic nature of the stomach. However, there are several mechanisms through which *L. monocytogenes* acquire resistance to acid stress.

Upon ingestion, L. monocytogenes encounter the stressor of acidic conditions within the stomach 54 as first physiologic barrier to bacterial invasion. Adaptation to such stressors is the key in the 55 survival and invasion of this pathogen. Several mechanisms have been acquired in order to 56 overcome this environment, including the acid tolerance response (ATR) and the glutamate 57 decarboxylase system (GAD) Morgan et al., 2019. Pre-exposure of L. monocytogenes to milder 58 acidic conditions enables enhanced resistance to lethal acid exposure due to its adaptive acid 59 tolerance response (ATR). Acid adaptation offers cross protection against heat, ethanol, 60 oxidative, and osmotic stresses and against the bacteriocin nisin (Gahan et al., 1996). Adaptive 61 ATR cross protection may also enhance the ability of L. monocytogenes to cause illness by 62 contributing to bacterial survival of a variety of challenges imposed by a host. Such host 63 challenges include exposure to gastric fluid, bile, and competitive intestinal microbiota flora; the 64 presence of organic acids found in the small intestine; and the oxidative products in the 65 phagosome (Vázquez-Boland et al., 2001). This mechanism enhances the survival chance of L. 66 monocytogenes within the entire GIT leading to development of listeriosis. The GAD system 67 confers resistance to more severe acidic conditions (pH < 4.5; Karatzas *et al.*, 2012). It is 68 comprised of two proteins, a cytoplasmic glutamate decarboxylase (GadA or GadB) and a 69 glutamate/GABA antiporter (GadC) located in the cytoplasmic membrane (Cotter et al., 2005). 70 The role of the GAD system is to increase pH_i by converting extracellular glutamate to γ -71 aminobutyrate (GABA) in an enzymatic reaction that reduces the intracellular proton 72 concentration (Cotter et al., 2001). Furthermore, the resistance to acid is not only through the 73 systems alone. The ADI and AgDI systems are both involved in the response of L. 74 monocytogenes to extreme acidity (Ryan et al., 2009). ADI imports arginine molecules from the 75 extracellular environment, converting them to ornithine, CO₂, ammonia (NH₃), and ATP. NH₃ is 76 then protonated to ammonium (NH₄), which increases pH_i(Cotter and Hill, 2003). The same is 77 true for AgDI, which converts agmatine into putrescine and NH₃ (Chen et al., 2011). Not all L. 78 monocytogenes strains possess the GAD system; it has been shown to be required by certain 79 strains for maintaining homeostasis within gastric juices (Cotter et al., 2001). However, recent 80 studies have shown that acid shock at low temperatures of 25 °C may induce prfA (Neuhaus et 81 al., 2013). Therefore, low pH could serve as a trigger for the expression of virulence and stress 82 response. These mechanisms enhance the survival chance of L. monocytogenes within the entire 83 GIT leading to development of listeriosis. 84

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Response to Bile

Bile or gall is a dark green to yellowish brown fluid, produced by the liver of most vertebrates that aids the digestion of lipids in the small intestine. In humans, bile is produced continuously

by the liver (liver bile), and stored and concentrated in the gallbladder. Human bile is composed

90 of individual conjugated and unconjugated bile acids that are present in the small intestine at

average concentrations of 5 mM (De Smet, *et al.*, 1995). The composition of hepatic bile is 97%

water, 0.7% bile salts, (Barrett and Kim 2012) 0.2% bilirubin, 0.51% fats (cholesterol, fatty 92 acids, and lecithin), and 200 meq/l inorganic salts (Guyton and Hall 2011). Bile salts are 93 metabolized by conjugation to glycine or taurine, which decreases their pK_a value to about 5 94 (Vlahcevic 1996). The salts are amphipathic molecules that have been shown to possess 95 96 antimicrobial properties; bile salts have been shown to degrade viral and bacterial membranes containing lipids and also induce DNA damage (Gunn 2000 and Bernstein et al., 1999). Survival 97 of enteric pathogens such as *Listeria monocytogenes* depends solely on its ability to resist the 98 antimicrobial effects of bile salts. 99

L. monocytogenes possesses numerous mechanisms to allow for resistance against bile, including 100 the bile salt hydrolase bsh (Begley 2005 and Dussurget et al., 2002), the general stress response 101 sigma factor sigB (Dowd 2011 and Begley 2005), the bile exclusion system bilE (Sleater et al., 102 2005), and virulence regulator prfA (Dussurget et al., 2002). Deconjugation is catalyzed by bile 103 salt hydrolase (BSH) enzymes (EC 3.5.1.24), which hydrolyze the amide bond and liberate the 104 glycine/taurine moiety from the steroid core. The resulting acids are termed unconjugated or 105 deconjugated bile acids hence inactivating the potent salt. Deletion of the bsh gene invariably 106 reduces the ability of L. monocytogenes to cause systemic infections as stated by Bergley, 2005 107 and Dussurget et al, 2002. 108

The general stress response sigma factor sigB is involved in regulating the expression of osmolyte transporters, such as OpuC, and is also involved in regulating processes needed for survival during oxidative stress, reduced pH, and starvation. The sigB transcription factor also serves as a positive regulator of factor A (PrfA), thus leading to the activation and regulation of major virulence factors (Ferreira *et al.*, 2003).

A connection between sigB and the genes expression related to bile resistance such 114 as bilE and bsh have been shown by Sue, 2003. The bile exclusion system, (BilE) serves to 115 prevent bile from entering the cell as bile is toxic to most pathogens. However, L. 116 *monocytogenes* overcome the toxicity of the gall badder and extracellularly grow. Expression of 117 internalins were found to increase in avirulent strain HCC23 (internalin A) but decrease in 118 virulent strains (Payne et al., 2013). A recent analysis of the transcriptomic response 119 demonstrated that bile exposure regulates many virulence factors in *L. monocytogenes*. In 120 particular the work identified a TetR-type regulator [renamed bile-regulated transcription factor 121 A (BrtA)] that senses bile (in particular the bile acid cholic acid) and regulates expression of two 122 multidrug resistance (MDR) efflux pumps (MdrM and MdrT) that mediate bile tolerance and 123 liver/gall bladder colonization (Quillin et al., 2011). This finding may be particularly relevant 124 given the broader role of MdrM/T in mediating secretion of cyclic-di-AMP, a signaling molecule 125 that triggers STING-dependent production of interferon-beta and promotes in vivo survival of 126 the pathogen (Crimmins et al., 2008). This shows that bile plays important role by differentially 127 regulating the invasive nature of L. monocytogenes. 128

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130 **Response to Anaerobic Condition**

131 Carbon dioxide is known to inhibit the growth of most bacteria (Gill *et al.*, 1980) and found as an

- acid reaction byproduct in the stomach with the amount produced differs from individual to individual.
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In an *in vitro* experiment conducted by Stefanie *et al.*, 2014 which showed none of the 134 anaerobically transcribed genes is essential for anaerobic survival, it is meaningful to explain that 135 adaptation to anaerobiosis could enhanced the fitness of bacteria in naturally low-oxygen 136 environments. Anaerobiosis might be an environmental signal, which triggers the first 137 colonisation of Listeria monocytogenes within the intestine during in vivo growth. Furthermore, 138 Jydegaard-Axelson and colleagues in 2004 observed an increased gene expression essential for 139 survival in acidic conditions and also increased branch-chain fatty acids in the cell membrane 140 when L. monocytogenes is cultured in elevated carbon dioxide and anaerobic conditions. It is 141 obvious that gene expression changed for invasion-associated internalin proteins (InIA and 142 LmaA) that are involved in attachment and invasion of the host cells in preference to escape the 143 acidic environment. 144

It has already been shown that anaerobic pre-culture of Listeria monocytogenes enhances 145 adhesion in in vitro cell culture assays and virulence in vivo in the guinea pig model (Bo 146 Andersen et al., 2007). Anaerobic induction of InIB (inlB, lmo0433, log 2RTL 1.3), involved in 147 adherence to (Lindén et al., 2008) and invasion in (Pentecost et al., 2010) intestinal tissue, and 148 LAP (a bifunctional enzyme, also with metabolic capability, *lmo1634*, described previously in 149 the text as adh, log 2RTL 1.4), involved in adherence (Burkholder et al., 2009) and paracellular 150 translocation (Burkholder & Bhunia, 2010), was already described previously (Burkholder et 151 al., 2009; Stritzker et al., 2004, 2005). In addition, an upregulation of lmo0971-lmo0973, 152 encoding the Dlt proteins involved in D-alanine esterification of lipoteichoic acid and wall 153 teichoic acid (dltD, dltC and dltB, $\log_2 1.2$, 1.3 and 1.5) were also anaerobically observed. 154 The D-alanine esterification has been shown to contribute to adhesion to host cells and to 155 virulence in Listeria monocytogenes (Abachin et al., 2002). Furthermore, lmo2467, which 156 encodes a protein similar to chitinase and chitin-binding protein, was much more anaerobically 157 transcribed than aerobically. It has already been shown that this gene also contributes to 158 virulence in Listeria monocytogenes (Chaudhuri et al., 2010). Studies have demonstrated that 159 the activity of the bile salt hydrolase increases under anaerobic conditions (Dussurget et al., 160 2007). These enhanced and synergistic mechanisms occur during anaerobic growth could 161 enhance the initial colonization of the intestine by Listeria monocytogenes in vivo. 162

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L. monocytogenes being a facultative anaerobe, capable to undergo aerobic respiration, 164 fermentation, and anaerobic respiration, however, this is still dependent upon oxygen 165 availability. This environmental sensing is typically controlled by a two-component signal 166 transduction system which consists of a membrane bound sensor and a cytoplasmic response 167 regulator (Stock et al., 2000). Even though little research has been conducted on L. 168 monocytogenes in order to analyze the connection between anaerobiosis and increased survival 169 in the presence of stressors, much is known about other Gram-positive organisms. In various 170 Gram-positive bacteria, such as Staphylococcus aureus, Bacillus subtilis, and Mycobacterium 171 tuberculosis, two-component systems have been shown to regulate metabolism and the 172 expression of virulence factors in response to decreased oxygen concentrations (Throup et al., 173 2001, Yarwood et al., 2001 and, Nakano et al., 1997). For instance, the SrrAB two-component 174 system of S. aureus is involved in the activation of stress response proteins, specifically those 175 involved in DNA repair, the oxidative stress response and the alternative sigma factor, SigB, in 176 oxygen limited environments (Kinkel et a., 1 2013). However, this activation is in conjunction 177 178 with multiple two-component systems (Michel et al., 2006).

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The two-component system ResDE of B. subtilis, homologous to SrrAB in S. aureus, has been 180 shown to regulate virulence factors, sporulation, and fermentation in B. subtilis (Yarwood et al., 181 2001 and Nakano et al., 1997) A homolog to resD has been characterized in L. monocytogenes 182 (Morgan *et al.*, 2019). ResD was found to influence the activity of *prfA* in *L*. 183 the expression of several *monocytogenes*, which in turn alters virulence genes, 184 including inlA (Larsen et al., 2206). This point that ResD is an important element in the 185 virulence factors regulation and stress responses under low-oxygen conditions. 186

A recent genomic study identified DosP in *L. monocytogenes*, which is similar to the histidine kinase found in *M. tuberculosis*, suggesting that *L. monocytogenes* belong to the category of Gram-positives that possess an oxygen sensor (Chiara *et al.*, 2017 and Holc *et al.*, 2013). This suggests that there is a link in similarity between virulence, stress response and two-component signal transduction systems affecting the organisms' ability to detect oxygen levels among Gram-positive bacteria.

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Wright et al., 2016, recently showed a potential link between oxygen availability and bile 194 resistance by observing several strains of L. monocytogenes growth in 0%, 1%, 5%, and 10% 195 porcine bile. This shows that resistance to bile increases under anaerobic conditions as compared 196 to aerobic for virulent strains F2365, 10403S and EGD-e but not for avirulent strain HCC23. A 197 comprehensive total proteomic study to identify mechanisms (metabolism and stress response) 198 found that proteins associated with the cell envelope, membrane bioenergetics, cell division, and 199 dehydrogenases involved in NADH:NAD⁺ alteration were increased under anaerobic conditions. 200 It is possible that these proteins may play a role in bile resistance during anaerobic grow, despite 201 oxygen sensor which may regulate these mechanisms has not been uncovered. 202

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204 Conclusion

Listeria monocytogenes infection is rare but it is the most dangerous foodborne disease due to its high mortality rate. In addition, its unique way of adapting to environmental stressor, gene expression and the inter-relationship between will make it the most deadly foodborne pathogen in near future if attentive and preventive measures not taken.

Several studies that have analyzed and characterized the bile resistance mechanisms of *L. monocytogenes* were conducted under aerobic conditions. The conditions of the gallbladder and small intestine, where bile salt concentrations are at its highest, is an environment ranging from microaerophilic to anaerobic (Crawford, 1955) hence not accurately modeling the physiological

conditions within the human gastrointestinal tract.

Recently, researchers began to show a connection between oxygen availability and the stress response in Gram-positive bacteria but further studies needed to identify the potential oxygen sensor for detecting oxygen availability especially in *Listeria monocytogenes*. Drugs to target this sensor will hopely reduce and prevent infection with *L. monocytogenes*.

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