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Review Article

The Role of Components and Molecules of Periodontal Ligament in Orthodontic Tooth Movement

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8 ABSTRACT

Orthodontic treatment requires moving and aligning the teeth into a favorable position 9 10 aesthetically and functionally. To achieve that, the teeth are subjected to forces to push them to their new position. Loading of force on the crown causes tipping of tooth, subsequently the 11 12 periodontal ligament is compressed adjacent to the alveolar bone on the side toward which the 13 force is directed. On the opposite side of the root, the periodontal ligament is stretched and undergoing tension. Blood vessels are compressed and blood flow is decreased on the 14 15 compression side, hence less oxygen supply is received on that side. Compression and tension are triggering specific signaling factors and mediators, which create local environment and 16 17 gradients to regulate remodeling of the periodontal ligament and bone for tooth movement. In 18 this review, we highlight the structures and mediators released in the very narrow zone 19 periodontal ligament during orthodontic tooth loading.

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21 **Keywords:** cytokines, orthodontic, periodontal ligament, tooth movement.

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25 1. INTRODUCTION

The periodontal ligament (PDL) is a specialised connective tissue apparatus, located between 26 27 the cementum of the tooth root and the bone forming the alveolar socket (alveolo-dental 28 ligament) (1). It acts as a shock absorber which transmits the biting forces to the alveolar bone. 29 Cementum of the tooth root has intrinsic fibres (Ebner fibrils) run horizontally in a circle around the root and extrinsic PDL fibers (Sharpey's fibers) that are inserted into the cementum in one 30 31 side and to the alveolar bone of the socket in the other side (2). The extracellular matrix of PDL 32 is composed of a network of fibrous structural proteins embedded in amorphous ground 33 substance surrounding cells (3).

The ground substance of PDL is 70% water and is thought to have a role in absorbing stress loads applied on the tooth. Tissue fluids tend to increase within the matrix of the PDL ground 36 substance in case of injury or inflammation. PDL matrix contains several noncollagenous matrix 37 proteins released from the blood vessels passing through the ligament or produced locally by 38 resident cells, these include alkaline phosphatase(4), proteoglycans (5), glycosaminoglycan, glycolipids and glycoproteins such as undulin, tenascin, and fibronectin (6). The cells of 39 40 periodontal ligament include fibroblasts, osteoblasts, osteoclasts, epithelial rests of Malassez, macrophages, monocytes, mesenchymal or stem-like cells, cementoblasts and odontoclasts, 41 therefore, PDL considered as a cell reservoir for tissue proliferation and repair, homeostasis or 42 regeneration (7-9). Periodontal ligament also contains blood and lymphatic vessels, and nerve 43 fibres. The width PDL ranges from 0.15 in the middle third of the root to 0.38 mm in the coronal 44 45 and apical third of the root. It has been reported that PDL space decreases progressively with age or in certain bone disease conditions (1). 46

47 Regarding the aging of PDL, it has been found that the space between the alveolar bone and 48 the cementum is gradually reduced over lifespan. Though bone formation decreases and bone 49 resorption increases with aging, yet the PDL region tends to be thinner. This is probably 50 attributed to the increased cementum thickness with age on the expense of PDL width. In 51 addition, the reduced or loss of occlusal forces on aging result in osteoporotic alveolar bone and 52 attrophy of PDL fibres, hence a narrower PDL width (10,11).

53 Orthodontic forces result in structural changes of the dental tissues features. Cementum, PDL, 54 bone, gingival tissues and bioactive substances (chemical mediators, enzymes, growth factors, 55 neuropeptides and ligands) are collectively reacting and responding to the forces, subsequently 56 periodontal tissues are re-organised and movement of teeth can be achieved (12,13).

The levels of these bioactive molecules may be affected (either increased or decreased) by certain medical conditions or medications taken. Unwanted disruption of these molecules levels will aggravate or suppress the inflammation induced upon loading. If the normal scenario sequence of aseptic inflammation is disrupted, the orthodontic tooth movement may either accelerated, delayed or even halted. Hence, clinically, these molecules can be used as targets to control or adjust the movement of teeth to achieve most favorable aesthetic outcome of orthodontic treatment.

In this article, we provide insights into PDL components and bioactive substances released
within the PDL region during orthodontic tooth movement.

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67 2. MAINTENANCE OF PERIODONTAL SPACE

68 PDL is occupying a space surrounded by two mineralised tissues (root cementum from one side 69 and alveolar bone from the other side). This narrow space is maintained by molecules secreted 70 by PDL cells that control calcification and aviod the ankylosis of tooth root with the adjacent 71 alveolar bone throughout the life. The activities of these cells in secreting molecules of various 72 types of proteins are increased during orthodontic movement. Certain mineralisation inhibitors such as matrix gamma-carboxyglutamic acid protein, a vitamin-K-dependant molecule; 73 osteogenic transcription factor Msx2 and glycosaminoglycans or tripeptide-cementum 74 attachment protein may have a pivotal role in maintaining the PDL space (14,15). It has been 75 76 found that balanced and reciprocal activities between bone sialoprotein and osteopontin may 77 play a significant role in preserving and maintaining an unmineralized PDL space. Osteopontin is expressed in the periodontium since tooth root development and has a potential role in 78 periodontal tissue formation and maintaining the PDL - alveolar bone interface (16). Due to its 79 chemotactic activity in attracting osteoclasts precursor, osteopontin has been implicated in 80 hastening tooth movement and root resorption during orthodontic treatment (17-19). It has been 81 82 reported that ERM has a role in the osteoclastogenesis of the alveolar socket, a process that 83 subsequently leads to maintain the periodontal space during orthodontic treatment (20-22).

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85 3. ADAPTATION OF PDL TO FUNCTION

86 Teeth are subjected to two types of forces: continuous, light and horizontal forces represented 87 by soft tissues pressure from the tongue, cheeks and lips; and intermittent, heavy and vertical 88 forces produced by chewing (23). The PDL has the capacity to adapt to load changes. 89 Increasing the loads for long term can increase markedly the width and thickness of the PDL 90 fiber bundles. Contrariwise, a decrease in the load subjected on teeth leads to thinning of 91 periodontal ligament and a reduction in thickness and number of the fiber bundles (24). These load changes of the PDL are taking place concurrently with adaptive changes at the interface 92 with cementum and alveolar bone (25). 93

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95 4. PERIODONTAL LIGAMENT COMPONENTS IMPLICATED IN ORTHODONTIC 96 MOVEMENT

97 Epithelial rests of Malassez (ERM) cells are remnants of the disintegrated Hertwig's epithelial 98 root sheath, are found in the PDL space. TALIC et al. (26) conducted an animal study and found 99 that ERM cells tend to proliferate and increase in size during experimental tooth movement. 100 Orthodontic tooth movement causes an increased level of IL-6 released by human PDL 101 fibroblast (27). IL-6, in turn, promotes the proliferation and migration of ERM (28). ERM 102 proliferation is reflecting the potential role for these epithelial cells in accelerating the collagen 103 turnover in the periodontal ligament during tooth movement (26-28). 104 It has been shown that ERM and PDL fibroblast have a reciprocal activity based on the singling 105 and stimuli they receive. Periodontal fibroblasts produce collagen and a collagenase inhibitor 106 (29), while ERM produce latent collagenase which subsequently transforms to active 107 collagenase by enzymatic cleavage (30). ERM plays a role in the rejuvenation of collagen in the 108 periodontal ligament. During PDL remodelling, collagenase of ERM degrades the collagen molecule into three-fourth and one-fourth peptide fragments, which then phagocytize by 109 110 fibroblasts. Consequently, fibroblasts synthesize and secret collagenase inhibitor which inhibits 111 the active collagenase enzyme to form an inactive enzyme inhibitor complex (29,30).

112 It has been reported that the ERM contributes to the homeostasis of periodontium. ERM is 113 thought to be involved in the induced tooth movement by increasing epidermal growth factor (EGF) production in PDL, preventing ankyloses and helping to repair root resorption areas by 114 inducing cementogenesis (30,31). EGF in return, stimulates ERM proliferation and maintains 115 their growth and integrity (32). EGF receptors are composed of transmembrane proteins that 116 117 trigger tyrosine kinase intracellularly and initiate cellular events that activate cell division and remodelling/regeneration of periodontal tissue (33). EGF secreted by the ERM is directly 118 119 involved in osteoclastogenesis of alveolar bone through the suppression of osteoprotegerin, an 120 important decoy receptor for RANKL (34). Continuous release of EGF results in the upregulation 121 of expression of monocyte chemoattractant protein-1 (MCP1), and hence, promoting the 122 resorption of the adjacent alveolar bone, that ultimately leads to tooth movement, prevents 123 ankylosis, as well as, maintaining the PDL space (20,30).

124 As part of its multifunction, ERM secret inflammatory mediators such as prostaglandins and 125 enamel proteins such as amelogenin and amelin. Amelin, in turn, promotes the fromation of bone matrix proteins, osteopontin, osteoprotegerin, BMP-2 and sialoprotein. These proteins 126 participate in the regeneration and repair of periodontium (35-38). Furthermore, it has been 127 128 reported that disruption of periodontal integrity by trauma or orthodontic movement results in the expression of amyloid enamel protein APIN in ERM, which indicates that this protein may play a 129 130 role in the initial phases of periodontal regeneration (39). Mechanical forces induced by 131 orthodontic appliance enhance the expression of bone matrix proteins, such as osteopontin, 132 which stimulates resorption and repair of root and helps to prevent ankylosis (36,38,40).

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4.1 Inflammatory Mediators, Cytokine And Transcription Factors

The orthodontic treatment induces an acute inflammatory response at its initial phase. In the early phase of tooth movement, leucocytes tends to migrate out of blood capillaries of periodontal ligament and producing cytokines which subsequently promote the excretion of 138 prostaglandins and other mediators (41,42). Prostaglandins, which are also released by ERM, 139 specifically prostaglandin E2, induce the recruitment and activation of osteoclasts and stimulate 140 bone resorption/remodeling (20,30). Application of orthodontic force stresses the extracellular 141 matrix and deforms the nearby osteocytes of alveolar bone. Subsequently, this deformation 142 opens the hemi-channels of the stressed osteocytes to release prostaglandins and recruit osteoclasts, which enhance orthodontic tooth movement (43,44). Therefore, amount of 143 144 prostaglandins produced plays a key role in orthodontic movement and also affects the rate of 145 tooth movement (45,46).

146 Orthodontic forces enhance the production of various cytokines within the PDL space. Previous 147 studies highlighted the role of IL-1 β , IL-6, and TNF- α in bone remodelling (47,48,49). The concentration of these cytokines is increased in the gingival crevicular fluid at the beginning 148 stage of orthodontic treatment (12th and 24th hour) (50). The cytokines are released by the 149 150 infiltrating polymorphonuclear leukocytes and PDL cells in response to the applied forces (51). 151 The maximum level of the released molecules is attained three days after the application of orthodontic loading (52). Cytokines induce local stimulation of osteoclasts which perform bone 152 resorption (53,54). The process involves nuclear transcription factor Kappa B (NF-KB) (receptor 153 154 activator of NF- κ B ligand, RANKL) which activates receptors of TNF- α (TNF-R1) at osteoblasts 155 (55,56). RANKL binds on a receptor at osteoclast named RANK. Subsequently RANKL 156 activates osteoclasts to perform osteoclastogenesis (57). Resorption is suppressed through 157 prevention of RANKL binding for RANK by the decoy receptor osteoprotegerin, followed by the 158 inhibition of osteoclasts differentiation (58,59).

159 This phase of alveolar bone resorption is shortly followed by decreasing the levels of secreted pro-inflammatory cytokines and reduction of blood vessels permeability (60). As cytokines 160 release is diminished in the tissues, the levels of these cytokines in gingival crevicular fluid and 161 the number of inflammatory cells is reduced after 7-10 days since the commencement of the 162 orthodontic forces application (52,61). The later events overlap with the beginning stage of 163 regeneration/repairing of tooth supporting tissues, which lasts for around 9 days (62). During the 164 restoration of periodontal tissue, stimulation of osteoblasts takes place through the 165 166 overexpression of various growth factors and interleukins which enhance the osteoid formation 167 and suppress bone resorption (63,64).

During the early stage of orthodontic loading and after the initiation of early stage periodontal remodelling, there is a second wave of other cytokines such as IL-8 which was shown to have an increased level in the gingival crevicular fluid (6, 45). IL-1 β , IL-6, and TNF- α stimulate the production of the other cytokines in monocytes, macrophages, epithelial cells, and fibroblasts of periodontium, this in turn, provoke the release of another wave of IL-1 β , IL-6, IL-2 and TNF- α (65,66).

174 High mobility group box 1 (HMGB1), a late inflammatory cytokine, is secreted by the periodontal ligament cells in response to mechanical stimuli (67). It is also produced by stimulated dendritic 175 176 cells, necrotic cells, macrophages and monocytes as a cytokine mediator of Inflammation (68,69). On compression, HMGB1 provokes local inflammatory responses by stimulating the 177 178 secretion of chemokines and cytokines from stressed cells (70,71). The released HMGB1, 179 would, in turn, stimulates human monocytes to produce and release TNF, IL-1α, IL-1β, IL-180 1RA, IL-6, IL-8, IL-10, macrophage inflammatory protein (MIP)-1alpha, and MIP-1beta in cultured periodontal ligament cells (70,71). Furthermore, HMGB1 induces mnocytes 181 chemotaxis, macrophage migration and osteoclastogenesis (69,72). HMGB1 is produced in the 182 183 tension zone of PDL during orthodontic tooth movement, where it plays a role in tissues 184 remodelling through stimulation of cells proliferation and formation of mineralized nodule in PDL 185 cells (73,74).

Previous study has reported that periostin, a 90 kDa extracellular matrix protein, has an inhibitory effect on HMGB1 (75). Periostin has a potential role in preserving the integrity of PDL collagen fibrils during orthodontic tooth movement, and its deficiency causes impairment of collagen fibers degradation at the compression side of periodontal ligament, which obstructs orthodontic tooth movement (67).

Periostin is primarily expressed in connective tissues subjected to mechanical stress and stretch, such as skin, heart valves, PDL, bones and tendons (76-78). XU et al. (79) found that tensile stress load upregulates the levels of periostin in animals and human periodontal ligament fibroblasts during orthodontic tooth movement. Previous studies have also found that the periostin expression is regulated by transforming grown factor β , and that periostin promotes fibrillogenesis in PDL; and enhances migration of fibroblasts and osteoblasts, hence, it is essential for the PDL and bone remodelling during orthodontic loading (80,81).

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199 4.2 Heat Shock Protein

Orthodontic force disturbs the blood supply of periodontal ligament and leads to hypoxia and ischemia in the tension zone during the early stages of tooth movement [(82). Consequently, these disturbances lead to the activation of cellular self-defense activities to reduce the stress and preserve the structure of periodontal ligament. It has been reported that heat shock protein (HSP) is released to serve this mission. HSP is upregulated in periodontal ligament during the early stages of orthodontic tooth movement (83-85). Previous studies revealed that HSP70 has a regulatory role in the reduction of cytokines and RANKL expression and controlling the inflammatory periodontal ligament cell response to compression forces (84,86). Inhibition of HSP70 resulted in significant reduction in cell division and proliferation and wound healing; while necrosis and apoptosis, osteoclastic differentiation and monocyte adhesion were highly increased in order to limit the tissue damage during orthodontic tooth movement (87).

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214 4.3 Periodontal Ligament Blood Vessels

During orthodontic tooth movement, periodontal ligament blood vessels are significantly involved in the renovation of tooth surrounding tissues (3,88,89). Several signals are generated after the compression of extracellular matrix which surrounds the cells of endothelia of blood vessels. These signals activate the restructuring of existing vessels and also formation of new blood vessels in the periodontal ligament (90).

Mechanical forces cause distortion of the nerve terminals which in response they release vasoactive neurotransmitters (91). In the periodontal ligament, most terminals are near bloodvessel walls. Subsequently, the released neurotransmitters activate the capillary endothelial cells receptors to bind circulating leukocytes, enhancing their migration out of the capillaries (92). The migrating leukocytes secrete many signal molecules, including cytokines and growth factors, which play important role in the aseptic inflammatory reaction that stimulates periodontal ligament and alveolar bone remodeling and facilitates the movement of teeth (43).

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228 4.4 Periodontal Ligament Cells Role In Osteoclastogenesis

It has been shown that periodontal ligament fibroblasts are adapted to the bacterial invasion and 229 230 mechanical loading by secreting high amounts osteoclastogenesis-inducing factors. Hence, they 231 possibly contribute to the escalated osteoclast recruitment observed during periodontitis and to 232 orthodontic tooth movement (54). It is believed that periodontal ligament cells regulate osteoclast differentiation through RANKL stimulation and osteoprotegerin inhibition, and also 233 support osteoclastogenesis through cell-to-cell contact (93). Cell-cell adhesion between 234 235 periodontal ligament cells and osteoclast precursors significantly enhances the upregulation of genes for osteoclast differentiation and the eventual formation of osteoclasts (94). 236

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4.5 Periodontal Ligament Cells Role In Osteoblastogenesis (Osteogenesis)

Periodontal ligament fibroblasts subjected to tensile strain were induced to express ephrin-B2 protein which in turn stimulate the osteoblasts of the alveolar bone and increase their osteoblastogenic gene expression at the tension sites during orthodontic tooth movement (95). At the compression site of root, compressive forces induce periodontal ligament fibroblasts to produce ephrin-A2, while the expression of ephrin-B2 fet is down-regulated. Ephrin-A2 suppresses osteoblastogenic gene expression (RUNX2, ALPL) of osteoblasts and decreases the signs of osteoblastic differentiation at the compression sites (95,96).

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247 4.6 Growth Factors

Orthodontic loading alters the flow of blood in the periodontal ligament and leads to the production of the angiogenic regulator, vascular endothelial growth factor (VEGF), and tissue growth factor β (TGF- β) by the periodontal ligament fibroblasts and circulating leukocytes (97,98). It has been shown that the level of anti-inflammatory cytokine TGF- β is higher at the tension side compared to the compression side of the root, which is probably attributed to its role in the process of osteogenesis and tissue formation at the tension side (3,41).

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255 **4.7 Enzymes**

The inducible nitric oxide synthase (iNOS), endothelial nitric oxide synthase (eNOS) and 256 257 neuronal nitric oxide synthase (nNOS) are three important enzymes released in the periodontal 258 space during the early phase of orthodontic loading (99,100). These enzymes are necessary for 259 the production of nitric oxide (NO) which is an important regulator of bone remodelling 260 (101,102). Previous studies revealed that stimulation of NOS increases tooth movement, while inhibition of NOS reduces that movement (103,104). The gene expression of synthase enzymes 261 is controlled by pro-inflammatory cytokines (IL-1 β , TNF- α) and anti-inflammatory cytokines (IL-4, 262 263 IL-10, TGF-β), which are secreted during tissues remodelling (105). Orthodontic loading on animals have shown that iONS is associated with bone resorption at the compression zone 264 while eNOS is associated with the bone formation at the tension zone (100). 265

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267 4.8 Regulating Occlusal Forces

The periodontal ligament has a pivotal role in controlling occlusal force associated with muscle spindles in jaw-closing muscles (106,107). There are multiple receptors mediating the somatosensation in the periodontal ligament. These receptors include Ruffini endings and Merkel cells for mechanosensation processing. Nociception and itching are processed by the free nerve endings of A δ - and C-fibers (108-110). Merkel cells of the epithelial rest of Malassez release neuropeptides which control the mechanosensation function (108,111). Application of
orthodontic forces leads to the constriction of the blood capillaries of the periodontal ligament in
the pressure area that result in focal necrosis, with histological features of hyalinization (112).
During this process, collagen fibers formation is regulated by ERM in order to promote
adaptation to the orthodontic forces, homeostasis and maintenance of the periodontal tissues
(12,30,38).

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281 **5. CONCLUSION**

The periodontal ligament plays a significant role in the orthodontic tooth movement for being the field and source where many bioactive molecules are released in response to the orthodontic forces. periodontal ligament is the first periodontal structure receives and reacts to the impact of loading. It is also important for being a pivotal part of theater for many molecular events which take place in order to achieve the orthodontic treatment. Clinically, several molecules produced by the periodontal cells upon orthodontic loading can be used as targets to accelerate or improve the movement of teeth.

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291 CONFLICT OF INTEREST

- 292 The authors have stated clearly that there are no conflicts of interest.
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